

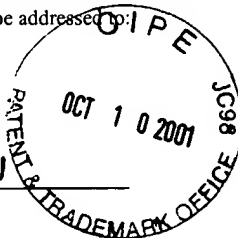
I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to:

PATENT  
020174-004900US

Assistant Commissioner for Patents  
Washington, D.C. 20231

On

October 5 2001



#S  
P.L.H.  
2-1502

TOWNSEND and TOWNSEND and CREW LLP

By:

*James M. Berger*

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

In re application of:

Stephen R. Quake et al.

Application No.: 09/887,997

Filed: June 22, 2001

For: HIGH THROUGHPUT  
SCREENING OF CRYSTALLIZATION  
OF MATERIALS

Examiner: Not Yet Assigned

Art Unit: 1725

PETITION TO CORRECT  
INVENTORSHIP UNDER 37 C.F.R.  
§1.48(a)

RECEIVED  
OCT 16 2001  
TC 1700

Assistant Commissioner for Patents  
Washington, D.C. 20231

Sir:

Applicants hereby request correction of inventorship of the above-referenced non-provisional patent application. Specifically, Applicants request that James M. Berger be added as an inventor. The existing inventors are Stephen R. Quake and Carl L. Hansen.

The error necessitating this change in inventorship occurred without deceptive intention on the part of the following:

*Stephen R. Quake*  
Stephen R. Quake

*Carl L. Hansen*  
Carl L. Hansen

*James M. Berger*  
James M. Berger

9/25/01  
Dated

9/25  
Dated

10-2-01  
Dated

Pursuant to the requirements of 37 C.F.R. §1.48(a), filed herewith in support of this petition are the following items:

- (1) a replacement declaration by the actual inventors;
- (2) the fee of \$130.00 set forth in 37 C.F.R. §1.17(i); and
- (3) the written consent of the assignee to this change in inventorship pursuant to 37 C.F.R. §1.48(a).

The Commissioner is hereby authorized to charge additional fees necessary for this petition or credit any overpayment to Deposit Account Number 20-1430.

The Examiner is invited to contact the undersigned by telephone at 650 326-2400 x5423 in the event that a telephone conference would be of value in expediting prosecution of this case.

Respectfully submitted,



Kent J. Tobin  
Reg. No. 39,496

TOWNSEND and TOWNSEND and CREW LLP  
Two Embarcadero Center, 8<sup>th</sup> Floor  
San Francisco, California 94111-3834  
Tel: (415) 576-0200  
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KJT:KJT:ad  
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1725  
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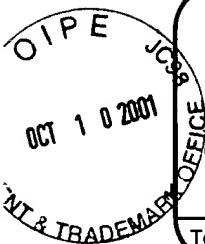
PTO/SB/21 (08-00)

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Approved for use through 10/31/2002. OMB 0651-0031

U.S. Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

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<h1>TRANSMITTAL FORM</h1> <p>(to be used for all correspondence after initial filing)</p>		Application Number	09/887,997
		Filing Date	June 22, 2001
		First Named Inventor	Quake, Stephen R.
		Group Art Unit	1725
		Examiner Name	Unassigned
Total Number of Pages in This Submission		Attorney Docket Number	020174004900

ENCLOSURES (check all that apply)		
<input checked="" type="checkbox"/> Fee Transmittal Form  <input type="checkbox"/> Fee Attached  <input type="checkbox"/> Amendment / Response  <input type="checkbox"/> After Final  <input type="checkbox"/> Affidavits/declaration(s)  <input type="checkbox"/> Extension of Time Request  <input type="checkbox"/> Express Abandonment Request  <input type="checkbox"/> Information Disclosure Statement  <input type="checkbox"/> Certified Copy of Priority Document(s)  <input type="checkbox"/> Response to Missing Parts/ Incomplete Application <input type="checkbox"/> Response to Missing Parts under 37 CFR 1.52 or 1.53	<input type="checkbox"/> Assignment Papers (for an Application)  <input type="checkbox"/> Drawing(s)  <input type="checkbox"/> Licensing-related Papers  <input type="checkbox"/> Petition Routing Slip (PTO/SB/69) and Accompanying Petition  <input type="checkbox"/> Petition to Convert to a Provisional Application  <input type="checkbox"/> Power of Attorney, Revocation Change of Correspondence Address  <input type="checkbox"/> Terminal Disclaimer  <input type="checkbox"/> Request for Refund  <input type="checkbox"/> CD, Number of CD(s)	<input type="checkbox"/> After Allowance Communication to Group  <input type="checkbox"/> Appeal Communication to Board of Appeals and Interferences  <input type="checkbox"/> Appeal Communication to Group (Appeal Notice, Brief, Reply Brief)  <input type="checkbox"/> Proprietary Information  <input type="checkbox"/> Status Letter  <input checked="" type="checkbox"/> Other Enclosure(s) (please identify below): 1. Petition to Correct Inventorship, 2. Executed Declaration and Power of Attorney, 3. Executed Assignment, 4. Written Consent of Assignee to this Change in Inventorship, 5. Updated Application Data Sheet; and 6. Return Postcard
Remarks		The Commissioner is authorized to charge any additional fees to Deposit Account 20-1430.

RECEIVED  
OCT 16 2001  
TC 1700

SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT		
Firm and Individual name	Townsend and Townsend and Crew LLP Kent J. Tobin Reg No. 39,496	
Signature		
Date	10/5/01	

CERTIFICATE OF MAILING		
I hereby certify that this correspondence is being deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Assistant Commissioner for Patents, Washington, D.C. 20231 on this date: 10/5/01		
Typed or printed name	Aimee Durham	
Signature		Date 10/5/01

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OCT 1 0 2007

PTO/SB/17 (09-00)

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# FEE TRANSMITTAL for FY 2001

Patent fees are subject to annual revision.

## Complete if Known

Application Number	09/887,997
Filing Date	June 22, 2001
First Named Inventor	Quake, Stephen R.
Examiner Name	Unassigned
Group Art Unit	1725
Attorney Docket No.	020174-004900US

TOTAL AMOUNT OF PAYMENT (\$) 130

## METHOD OF PAYMENT

1. ☒ The Commissioner is hereby authorized to charge indicated fees and credit any over payments to:

Deposit  
Account  
Number

20-1430

Deposit  
Account  
Name

Townsend and Townsend and Crew LLP

- ☒ Charge Any Additional Fee Required  
Under 37 CFR 1.16 and 1.17  
☒ Applicant claims small entity status.  
See 37 CFR 1.27

2. ☐ Payment Enclosed:

☐ Check ☐ Credit card ☐ Money  
Order ☐ Other

## FEE CALCULATION

## 1. BASIC FILING FEE

Large Fee Code	Entity Fee (\$)	Small Fee Code	Entity Fee (\$)	Fee Description	Fee Paid
101	710	201	355	Utility filing fee	
106	320	206	160	Design filing fee	
107	490	207	245	Plant filing fee	
108	710	208	355	Reissue filing fee	
114	150	214	75	Provisional filing fee	

SUBTOTAL (1)

(\$)

## 2. EXTRA CLAIM FEES

Total Claims	Extra Claims	Fee from below	Fee Paid
-20**	X		
Independent Claims	-3**	X	
Multiple Dependent	X		

Large Fee Code	Entity Fee (\$)	Small Fee Code	Entity Fee (\$)	Fee Description
103	18	203	9	Claims in excess of 20
102	80	202	40	Independent claims in excess of 3
104	270	204	135	Multiple dependent claim, if not paid
109	80	209	40	** Reissue independent claims over original patent
110	18	210	9	** Reissue claims in excess of 20 and over original patent

SUBTOTAL (2)

(\$)

\*\*or number previously paid, if greater; For Reissues, see above

## FEE CALCULATION (continued)

## 3. ADDITIONAL FEES

Large Fee Code	Entity Fee (\$)	Small Fee Code	Entity Fee (\$)	Fee Description	Fee Paid
105	130	205	65	Surcharge - late filing fee or oath	
127	50	227	25	Surcharge - late provisional filing fee or cover sheet.	
139	130	139	130	Non-English specification	
147	2,520	147	2,520	For filing a request for reexamination	
112	920*	112	920*	Requesting publication of SIR prior to Examiner action	
113	1,840*	113	1,840*	Requesting publication of SIR after Examiner action	
115	110	215	55	Extension for reply within first month	
116	390	216	195	Extension for reply within second month	
117	890	217	445	Extension for reply within third month	
118	1,390	218	695	Extension for reply within fourth month	
128	1,890	228	945	Extension for reply within fifth month	
119	310	219	155	Notice of Appeal	
120	310	220	155	Filing a brief in support of an appeal	
121	270	221	135	Request for oral hearing	
138	1,510	138	1,510	Petition to institute a public use proceeding	
140	110	240	55	Petition to revive - unavoidable	
141	1,240	241	620	Petition to revive - unintentional	
142	1,240	242	620	Utility issue fee (or reissue)	
143	440	243	220	Design issue fee	
144	600	244	300	Plant issue fee	
122	130	122	130	Petitions to the Commissioner	130
123	50	123	50	Petitions related to provisional applications	
126	180	126	180	Submission of Information Disclosure Stmt	
581	40	581	40	Recording each patent assignment per property (times number of properties)	
146	710	246	355	Filing a submission after final rejection (37 CFR § 1.129(a))	
149	710	249	355	For each additional invention to be examined (37 CFR § 1.129(b))	
179	710	279	355	Request for Continued Examination (RCE)	
169	900	169	900	Request for expedited examination of a design application	

Other fee (specify)

The Commissioner is authorized to charge any additional fees to the above noted Deposit Account.

\*Reduced by Basic Filing Fee Paid

SUBTOTAL (3)

(\$130)

## SUBMITTED BY

Complete (if applicable)

Name (Print/Type)

Kent J. Tobin

Registration No. (Attorney/Agent)

39,496

Telephone

650-326-2400

Signature

Date

10/5/01

WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.

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